

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Nielsen, James T. et al.

Assignee:

Cardica, Inc.

Title:

Surgical Apparatus and Method for Anastomosis

Serial No.:

N/A

149

Filing Date:

December 31, 2003

Examiner: Docket No.:

Not yet assigned

Group Art Unit: N/A

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR \S 1.97(b)

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner. A copy of each item listed on the accompanying form PTO-1449 is enclosed for the convenience of the Examiner.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

Brian A. Schar Attorney for Applicant(s) Reg. No. 45,076 Cardica, Inc. 900 Saginaw Dr.

Redwood City, CA 94063

FORM PTO-1449 ATTY. DOCKET NO. SERIAL NO. 149 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S N/A APPLICANT: INFORMATION DISCLOSURE STATEMENT NIELSEN, JAMES T. ET AL. FILING DATE: GROUP: (Use several sheets if necessary)

12/31/03

N/A

	-		U.S. PATEN	IT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB	FILING P. I
		5,489,058	2/6/1996	PLYLEY ET AL.	55.00	OLAGO	FILING DATE
		5,535,935	7/16/1996	VIDAL, ET AL			
TPI		5,586,711	12/24/1996	PLYLEY ET AL.			
	8	5,816,471	10/6/1998	PLYLEY ET AL.			
	4	5,878,938	3/9/1999	BITTNER ET AL.			
E FEB 0 6	2004 3						
B III							
TE FEB 8	9						
- CTRAD	EMAL						
				111			
					1		
					_		

EXAMINER		CITLIGIT	PATENT DOCUMENTS			
INITIAL	DOCUMENT NUMBER EP1354559A2	DATE 5/24/1995	COUNTRY EUROPE	CLASS	SUB CLASS	TRANSLATION YES/NO

INITIAL DOCUMENT TITLE DATE AUTHOR ATLAS OF SURGICAL STAPLING 1999/ETHICON ENDO-SURGERY	EXAMINER	OTHER DOCUME	NTS	
				AUTHOR ETHICON ENDO-SURGERY

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether Draw line through citation if not in conformance and nonmunication to applicant	or not citation is in conformance with MPEP 609; ot considered. Include a copy of this form with next